

California Compliance Declaration

Puma Biotechnology, Inc. is committed to maintaining high standards of ethical, moral, and legal business conduct and complying with all applicable laws and regulations. Puma has established a comprehensive Compliance Program that incorporates the seven elements of an effective program as recommended in the Compliance Program Guidance for Pharmaceutical Manufacturers published by the U.S. Department of Health and Human Services Office of Inspector General (OIG), and which includes policies consistent with the Pharmaceutical Research and Manufacturers of America (PhRMA) Code on Interactions with Health Care Professionals (PhRMA Code).

Puma's Compliance Program is reviewed by a Compliance Committee with the responsibility for establishing a culture of compliance, monitoring compliance with company policies, and taking corrective and/or disciplinary action when necessary. The Compliance Program includes policies and procedures, which are periodically reviewed to ensure consistency with current laws and guidance. The program is designed to prevent, detect, and remediate violations of law, regulations and company policies. The program also guides our interactions with healthcare professionals and healthcare entities.

Puma has established an independent hotline for employees and others to communicate compliance concerns anonymously. Any potential or actual violations of policy or law are investigated and, if necessary, followed by appropriate remedial or corrective actions in accordance with Puma's Compliance Program. For purposes of complying with the California Health and Safety Code 119402, Puma has established a maximum annual aggregate dollar limit of \$2,000 for meals, promotional materials, or educational materials provided to California health care professionals.

Questions regarding Puma's Compliance Program may be sent to Puma's compliance office by email to compliance@pumabiotechnology.com or by mail to Puma Biotechnology, Attn: Compliance Office, 10880 Wilshire Boulevard, Suite 2150, Los Angeles, CA 90024. A copy of the Compliance Program and written declaration of compliance may be obtained by calling toll-free 1-844-413-4055.

Puma declares that as of July 1, 2019, to the best of our knowledge, and based on good faith belief, its Compliance Program addresses the California requirements for policies that address both the OIG guidance and PhRMA Code, and that the company is in compliance with its Compliance Program and Section 119402 of the California Health & Safety Code.